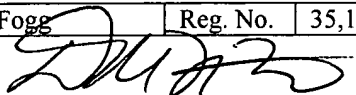
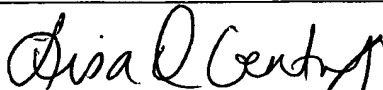
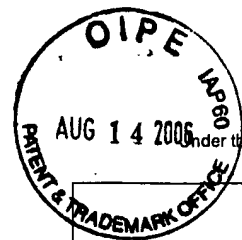


2623/TFU

Applicant(s)	Hosking et al.	TRANSMITTAL FORM UNDER 37 CFR 1.8 (LARGE ENTITY)
Serial No.	10/500,016	
Filing Date	06-23-2004	
Examiner Name	Unknown	
Group Art Unit	Unknown	
Confirmation No.	9080	
Attorney Docket No.	151.001US01	
Title: CAPTIONING SYSTEM		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Enclosures					
The following documents are enclosed: <u>X</u> An Information Disclosure Statement/Form 1449 (PTO/SB/08a) (13 pgs.); and a copy of the 40 foreign references and 12 Non-Patent Literature references. <u>X</u> A return postcard. Please charge any additional fees or credit any overpayments to Deposit Account No. 502432. CUSTOMER NO. 34206					
Submitted By					
Name	David N. Fogg	Reg. No.	35,138	Telephone	(612) 332-4720
Signature				Date	August 10, 2006
Attorneys for Applicant Fogg and Associates, LLC P.O. Box 581339 Minneapolis, MN 55458-1339 T: 612-332-4720 F: 612-332-4731					
Certificate of Mailing					
I certify that this correspondence, and the documents identified above, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 10, 2006.					
Name	Lisa D. Gentry		Signature		

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(Not for submission under 37 CFR 1.99)

Application Number	10500016
Filing Date	2004-06-23
First Named Inventor	Hosking
Art Unit	N/A
Examiner Name	Unknown
Attorney Docket Number	151.001US01

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	2660662		1953-11-24	SCHERBATSKOY	
	2	3651471		1972-03-21	HASELWOOD	
	3	3732536		1973-05-08	LARKA	
	4	3742463		1973-06-26	HASELWOOD	
	5	3845391		1974-10-29	CROSBY	
	6	4025851		1977-05-24	HASELWOOD	
	7	4237449		1980-12-02	ZIBELL	
	8	4514725		1985-04-30	BRISTLEY	

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Art Unit	N/A	
Examiner Name	Unknown	
Attorney Docket Number	151.001US01	

	9	4642685		1987-02-10	ROBERTS	
	10	4718106		1988-01-05	WEINBLATT	
	11	4750034		1988-01-07	LEM	
	12	4807031		1989-02-21	BROUGHTON	
	13	4840602		1989-06-20	ROSE	
	14	4846693		1989-07-11	BAER	
	15	4923428		1990-05-08	CURRAN	
	16	4945412		1990-07-31	KRAMER	
	17	5085610		1992-02-04	ENGEL	
	18	5108341		1992-04-28	DeSMET	
	19	5113437		1992-05-12	BEST	

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	20	5136613		1992-08-04	DUMESTRE, III	
	21	5191615		1993-03-02	ALDAVA	
	22	5314336		1994-05-24	DIAMOND	
	23	5412620		1995-05-02	CAFARELLA	
	24	5436941		1995-07-25	DIXON	
	25	5450490		1995-09-12	JENSEN	
	26	5479442		1995-12-26	YAMAMOTO	
	27	5499265		1996-03-12	DIXON	
	28	5539705		1996-07-23	AKERMAN	
	29	5579124		1996-11-26	AIJALA	
	30	5663766		1997-09-02	SIZER II	

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	31	5687191		1997-11-11	LEE	
	32	5719937		1998-02-17	WARREN	
	33	5752880		1998-05-19	GABAI	
	34	5774452		1998-06-30	WOLOSEWICZ	
	35	5822360		1998-10-13	LEE	
	36	5828325		1998-10-27	WOLOSEWICZ	
	37	5848155		1998-12-08	COX	
	38	5918223		1999-06-29	BLUM et al.	
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	40	5960398		1999-09-28	FUCHIGAMI	
	41	5963909		1999-10-05	WARREN	

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42	6021432		2000-02-01	SIZER, II	
43	6022273		2000-02-08	GABAI	
44	6035177		2000-03-07	MOSES	
45	6125172		2000-09-26	AUGUST	
46	6290566		2001-09-18	GABAI	
47	6309275		2001-10-30	FONG	
48	6389055		2002-05-14	AUGUST	

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U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20010030710		2001-10-18	WERNER	
	2	20040137929		2004-07-15	JONES et al.	

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Examiner Name	Unknown	
Attorney Docket Number	151.001US01	

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² ;	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	2129925	CA		1996-02-12	ZWANEVELD		<input type="checkbox"/>
	2	3229405	DE		1984-02-09	WERNER		<input type="checkbox"/>
	3	0135192	EP		1985-03-27	HOWARD		<input type="checkbox"/>
	4	0172095	EP		1986-02-19	BARANOFF-ROSSINE		<input type="checkbox"/>
	5	0347401	EP		1989-12-20	KRAMER		<input type="checkbox"/>
	6	0606703	EP		1994-07-20	WEINBLATT		<input type="checkbox"/>
	7	0631226	EP		1994-12-28	CATO		<input type="checkbox"/>
	8	0674405	EP		1995-09-27	WEINBLATT		<input type="checkbox"/>
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Art Unit	N/A	
Examiner Name	Unknown	
Attorney Docket Number	151.001US01	

	10	0822550	EP		1998-02-04	FUCHIGAMI		<input type="checkbox"/>
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	12	0863631	EP		1998-09-09	SATO		<input type="checkbox"/>
	13	0872995	EP		1998-10-21	AUGUST		<input type="checkbox"/>
	14	1158800	EP		2001-11-28	LI		<input type="checkbox"/>
	15	2626731	FR		1989-08-04	REIBEL		<input type="checkbox"/>
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	17	2192743	GB		1988-01-20	SANDBANK		<input type="checkbox"/>
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Art Unit	N/A	
Examiner Name	Unknown	
Attorney Docket Number	151.001US01	

	21	2345779	GB		2000-07-19	WEBB		<input type="checkbox"/>
	22	2000152217	JP		2000-05-30	HIROFUMI		<input type="checkbox"/>
	23	0015316	WO		2000-03-23	ATSMON		<input type="checkbox"/>
	24	0057586	WO		2000-09-28	POEL		<input type="checkbox"/>
	25	0110065	WO		2001-02-08	BARTLETT		<input type="checkbox"/>
	26	0157619	WO		2001-08-09	ATSOM		<input type="checkbox"/>
	27	0211123	WO		2002-02-07	WANG		<input type="checkbox"/>
	28	0245273	WO		2002-06-06	JONES		<input type="checkbox"/>
	29	0245286	WO		2002-06-06	JONES		<input type="checkbox"/>
	30	9110490	WO		1991-07-25	ALDAVA		<input type="checkbox"/>
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Attorney Docket Number	151.001US01	

	32	9307689	WO		1993-04-15	FARDEAU		<input type="checkbox"/>
	33	9408677	WO		1994-04-28	JANI		<input type="checkbox"/>
	34	9619274	WO		1996-06-27	DEBRUN		<input type="checkbox"/>
	35	9721279	WO		1997-06-12	WARREN		<input type="checkbox"/>
	36	9731440	WO		1997-08-28	MOSES		<input type="checkbox"/>
	37	9733391	WO		1997-09-12	HEUBERGER		<input type="checkbox"/>
	38	9741936	WO		1997-11-13	SHALONG		<input type="checkbox"/>
	39	9832248	WO		1998-07-23	BARCLAY		<input type="checkbox"/>
	40	9900979	WO		1999-01-07	DEL CASTILLO		<input type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
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Attorney Docket Number	151.001US01

1	BENDER, "Techniques for Data Hiding", "IBM Systems Journal, MIT Media Lab", 1995, Page(s) 313; 323-336, Volume 35, Number 3, 4	<input type="checkbox"/>
2	SUNDARAM, "An Embedded Cryptosystem for Digital Broadcating", "IEEE 6th International Conference on Universal Personal Communications Record", 10/12-16/1997, Page(s) 401-405, Volume 2 of 2, Published in: San Diego, CA	<input type="checkbox"/>
3	NEUBAUER, "Continuous Steganographic Data Transmission Using Uncompressed Audio", "Lecture Notes in Computer Science 1525, Information Hiding, 2nd International Workshop, IH '98", 4/1998, Page(s) 208-217, Published in: Portland, Oregon	<input type="checkbox"/>
4	CHUNG, "Digital Watermarking for Copyright Protection of MPEF2 Compressed Video", "IEEE Transactions on Consumer Electronics", 8/1998, Page(s) 895-901, Volume 44, Number 3	<input type="checkbox"/>
5	COX, "Secure Spread Specturm Watermarking for Mulitmedia", "IEEE Transactions on Image Processing", 10/1997, Page(s) 1673-1687, Volume 6, Number 12	<input type="checkbox"/>
6	COX, "A Secure, Robust Watermark for Multimedia", "1st International Workshop", May/June 1996, Page(s) 185-206, Published in: Cambridge, UK	<input type="checkbox"/>
7	POHLMANN, "Fundamentals of Digital Audio; Digital Audio Tape (DAT); The Compact Disc", "Principles of Digital Audio, Second Edition", , Page(s) 47-48; 255-256; 323	<input type="checkbox"/>
8	COX, "Secure Spread Spectrum Watermarking for Images, Audio and Video", "International Conference on Image Processing", 9/16-19/1996, Page(s) 243-246, Volume 3 of 3, Published in: Lausanne, Switzerland	<input type="checkbox"/>
9	IWAKIRI, "Digital Watermark Scheme for Hight Quality Audio Data by Spectrum Spreading and Modified Discrete Cosine Transform", "Information Processing Society of Japan", 9/1998, Page(s) 2631-2637, Volume 39, Number 9, Published in: Japan	<input type="checkbox"/>
10	SEOK, "PREDICTION-BASED AUDIO WATERMARK DETECTION ALGORITHM", "PRESENTED AT THE 109TH CONVENTION 2000 SEPTEMBER 22-25 AES", 9/22-25/2000, Page(s) 1-11, Publisher: AUDIO ENGINEERING SOCIETY , Published in: LOS ANGELES, CA	<input type="checkbox"/>
11	SWANSON, "ROBUST AUDIO WATERMARKING USING PERCEPTUAL MASKING", "SIGNAL PROCESSING", 1998, Page(s) 337-355, Volume 66, Publisher: ELSEVIER SCIENCE	<input type="checkbox"/>

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Attorney Docket Number	151.001US01

12	COX, "A Secure, Imperceptible Yet Perceptually Salient, Spread Spectrum Watermark for Multimedia", "SouthCon 96, Conference Record", 6/25-27/1996, Page(s) 192-197, Published in: Orlando, FL	<input type="checkbox"/>
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Examiner Name	Unknown
Attorney Docket Number	151.001US01

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

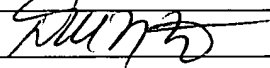
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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2006-08-16
Name/Print	Fogg, David N.	Registration Number	35138

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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